



Sector 8  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. ....09/599,813  
Filing Date .....6/21/00  
Inventorship .....Raman et al.  
Applicant ..... Microsoft Corporation  
Attorney's Docket No. .... MS1-583US  
Title: Authoring Arbitrary XML Documents using DHTML and XSLT

**TRANSMITTAL LETTER AND CERTIFICATE OF MAILING**

To: Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

From: Lance R. Sadler (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
421 W. Riverside Avenue, Suite 500  
Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Check in the Amount of \$1592.00
3. Notice to File Missing Parts of Application
4. Executed Declaration
5. Fee Transmittal
6. Return Post Card

Large Entity Status ☒ Small Entity Status ☐

*Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.*

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 12/01/00

By: 

Lance R. Sadler  
Reg. No. 38,605

**CERTIFICATE OF MAILING**

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) \_\_\_\_\_

Date: 12/1/2000

By: 

Dana L. Calhoun



Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number **09/599,813**  
Filing Date **6/21/2000**  
First Named Inventor **Ardeleanu**  
Examiner Name  
Group Art Unit  
Attorney Docket No. **msl-583us**

TOTAL AMOUNT OF PAYMENT

(\$) **1592<sup>00</sup>**

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **12-0769**  
Deposit Account Name **Lee & Hayes PLLC**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid   |
|----------------------------|----------------------------|------------------------|------------|
| 101 710                    | 201 355                    | Utility filing fee     | <b>710</b> |
| 106 320                    | 206 160                    | Design filing fee      |            |
| 107 490                    | 207 245                    | Plant filing fee       |            |
| 108 710                    | 208 355                    | Reissue filing fee     |            |
| 114 150                    | 214 75                     | Provisional filing fee |            |

SUBTOTAL (1) (\$) **710.00**

### 2. EXTRA CLAIM FEES

Total Claims **44** -20\*\* = **24** X Fee from below **18** = **432**  
Independent Claims **7** -3\*\* = **4** X **80** = **320**  
Multiple Dependent  =

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |
| 102 80                     | 202 40                     | Independent claims in excess of 3                          |
| 104 270                    | 204 135                    | Multiple dependent claim, if not paid                      |
| 109 80                     | 209 40                     | ** Reissue independent claims over original patent         |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$) **752<sup>00</sup>**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid   |
|----------------------------|----------------------------|--|------------|
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  | <b>130</b> |
| 127 50                     | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     |            |
| 139 130                    | 139 130                    | Non-English specification  |            |
| 147 2,520                  | 147 2,520                  | For filing a request for <i>ex parte</i> reexamination                     |            |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |            |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |            |
| 115 110                    | 215 55                     | Extension for reply within first month                                     |            |
| 116 390                    | 216 195                    | Extension for reply within second month                                    |            |
| 117 890                    | 217 445                    | Extension for reply within third month                                     |            |
| 118 1,390                  | 218 695                    | Extension for reply within fourth month                                    |            |
| 128 1,890                  | 228 945                    | Extension for reply within fifth month                                     |            |
| 119 310                    | 219 155                    | Notice of Appeal   |            |
| 120 310                    | 220 155                    | Filing a brief in support of an appeal                                     |            |
| 121 270                    | 221 135                    | Request for oral hearing   |            |
| 138 1,510                  | 138 1,510                  | Petition to Institute a public use proceeding                              |            |
| 140 110                    | 240 55                     | Petition to revive - unavoidable   |            |
| 141 1,240                  | 241 620                    | Petition to revive - unintentional   |            |
| 142 1,240                  | 242 620                    | Utility issue fee (or reissue)   |            |
| 143 440                    | 243 220                    | Design issue fee   |            |
| 144 600                    | 244 300                    | Plant issue fee  |            |
| 122 130                    | 122 130                    | Petitions to the Commissioner  |            |
| 123 50                     | 123 50                     | Petitions related to provisional applications                              |            |
| 126 240                    | 126 240                    | Submission of Information Disclosure Stmt                                  |            |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) |            |
| 146 710                    | 246 355                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |            |
| 149 710                    | 249 355                    | For each additional invention to be examined (37 CFR § 1.129(b))           |            |
| 179 710                    | 279 355                    | Request for Continued Examination (RCE)                                    |            |
| 169 900                    | 169 900                    | Request for expedited examination of a design application                  |            |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **130<sup>00</sup>**

## SUBMITTED BY

Name (Print/Type) **Lance R. Sadler**  
Signature **[Signature]**

Registration No. **38,605**  
(Attorney/Agent)

## Complete (if applicable)

Telephone **(509) 324-9256**

Date **12/01/00**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/599,813         | 06/21/2000          | Adriana Ardeleanu     | MSI-583US              |

Lance R Sadler  
Lee & Hayes PLLC  
Suite 500  
421 W Riverside Avenue  
Spokane, WA 99201

## FORMALITIES LETTER



\*OC000000005450918\*

Date Mailed: 10/04/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$744.
  - \$432 for 24 total claims over 20.
  - \$312 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1564.

710.00  
320.00  
432.00  
130.00

*A copy of this notice **MUST** be returned with the reply.*

*QJ-6*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

12/07/2000 YPOLITE1 00000025 09599813

01 FC:101  
02 FC:102  
03 FC:103  
04 FC:105

PART 2 - COPY TO BE RETURNED WITH RESPONSE

